## CHAPTER 1

# GENERAL INFORMATION

# A. <u>PURPOSE</u>

This Plan:

- 1. Implements policy in DoD Directive 5000.59 (reference (a)), subsection D.2.
- 2. Establishes the DoD vision for DoD Modeling and Simulation (M&S) and a process for defining future M&S-based capabilities.
- 3. Outlines a strategy for achieving future DoD M&S-based capabilities.
- 4. Establishes a DoD M&S baseline to document current M&S capabilities and developments and as a reference to measure future progress.
  - 5. Assigns implementation responsibilities.
- 6. Provides guidelines for development, cooperation, and coordination of DoD M&S efforts.
- 7. Is the initial step in an iterative process that provides for development of functional objectives, technology development road maps, and strategies for achieving current and future DoD M&S objectives.
- 8. Will provide, through successive iteration and refinement, a basis for the development of supporting plans and programs, including the DoD MSIP.
- 9. Establishes DoD M&S objectives, identifies actions, and, where possible, assigns responsibilities for accomplishing them.
- 10. Provides a basis for developing supporting plans and programs, including the DoD MSIP, and the DoD Component's M&S master and investment plans.
- 11. Provides justification for resource allocations to M&S within DoD Component programming and budgeting processes. Note: Chapter 4 milestones for actions are planning factors for execution of the various tasks. They may require adjustments based on delays in technology advancements and/or limitations in the Planning, Programming and Budgeting System (PPBS) process.

12. Fosters the integration of the defense and civilian M&S bases into a unified national and international base using common standards, processes and methods.

#### B. <u>RESPONSIBILITIES</u>

- 1. The <u>Under Secretary of Defense for Acquisition and Technology</u> shall:
- a. Through iterative development and implementation of the Department of Defense Modeling and Simulation Master Plan (MSMP) and MSIP, strengthen the uses of M&S across the missions and functions of the Department of Defense.
- b. Develop supporting plans, programs, policies, and procedures for DoD M&S to support the DoD MSMP and MSIP, in coordination with the DoD Components, as required.
- c. Review the DoD MSMP as needed to support the PPBS cycle and coordinate changes with the DoD Components.
- d. Develop and implement a DoD MSIP, update it as required and coordinate that plan with the DoD Components.
  - e. Establish a DoD M&S Resource Repository (MSRR) system.
- f. Establish a DoD M&S Information Analysis Center to support and enhance the coordination of DoD M&S developments.
- g. Through the DoD Executive Council for Modeling and Simulation (EXCIMS):
- (1) Recommend new and/or revised DoD M&S objectives and the strategies, plans, programs, and investments to achieve them for incorporation into revisions of the DoD MSMP and/or MSIP.
- (2) Evaluate and recommend that the DoD Components be designated as a DoD M&S Executive Agents (MSEAs), as required.
- (3) Foster programs to develop and, where applicable, implement DoD M&S interoperability standards and protocols that support the DoD MSMP and MSIP.
- h. Oversee implementation of the DoD MSMP and MSIP, and ensure these plans are resourced to meet objectives.

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- i. Designate Principal Staff Assistants (PSAs) or Heads of the DoD Components, as appropriate, as "Primary Responsibility" (PR) for all actions not yet assigned in this plan.
- j. Take action on all Chapter 4 actions where the Under Secretary of Defense for Acquisition & Technology (USD(A&T)) (or its subordinate organizations) is identified as the agent for PR.
  - k. Through the Director, Defense Research and Engineering:
- (1) As Chair of the EXCIMS, monitor implementation and execution of the DoD MSMP and MSIP and provide the USD(A&T) periodic progress reports.
- (2) Provide EXCIMS-developed recommendations regarding new DoD M&S objectives and changes to the DoD MSMP and/or MSIP to the USD(A&T).
- (3) Through the Director, Defense Modeling and Simulation Office (DMSO):
- (a) Be the full-time DoD focal point for the maintenance of the MSMP and MSIP as outlined in Appendix Y, Plan Maintenance.
- (b) Establish Modeling and Simulation Working Group (MSWG) Sub Working Groups, and Task Forces as needed to support the development and implementation of the DoD MSMP and MSIP.
- (c) Staff and distribute changes and revisions to DoD M&S plans, programs, policies, procedures, and DoD Publications that support the DoD MSMP and MSIP.
- 2. The <u>Assistant Secretary of Defense for Command, Control, Communications, and Intelligence</u> shall:
- a. Ensure that current and planned Command, Control, Communications, and Intelligence (C3I) systems and M&S, as appropriate, are compatible.
- b. Through the Director, Defense Information Systems
  Agency (DISA), ensure that:
- (1) Current and planned new developments or modifications to the existing DoD communications infrastructure and DoD M&S are compatible.

- (2) New or modified DoD communications and DoD M&S standards and M&S protocols are compatible.
- c. Through the Director of the Defense Intelligence Agency, coordinate intelligence-related support for this plan with the U.S. intelligence community.
- d. Through the Director, Defense Mapping Agency (DMA), as the DoD MSEA for Terrain, take actions where assigned as "PR" in Chapter 4.
- e. Take actions as needed to implement responsibilities assigned to a PSA, as outlined in subsection B.3, below.
- 3. The <u>Office of the Secretary of Defense Principal Staff</u>
  <u>Assistants</u> shall:
- a. Assist, as appropriate and able within their resource constraints, in the development, revision, and budget execution of the DoD MSMP and MSIP.
- b. Plan and provide resources to carry out their functional M&S responsibilities in support of the DoD MSMP and MSIP according to PSA priorities.
- c. In coordination with the DoD Components, develop M&S functional objectives and supporting investment programs, as required.
- d. In coordination with the DoD Components, develop M&S functional area appendices to the DoD MSMP and MSIP, as required.
- e. Review, coordinate, and approve DoD M&S plans, programs, policies, procedures, and DoD Publications that support the DoD MSMP and MSIP.
- f. When designed as a DoD MSEA, assume DoD-wide responsibility for managing DoD common- or general-use M&S applications, including the development of relevant standards, protocols, and data bases, in response to guidance from the USD(A&T).
- g. Establish elements of the DoD MSRR system, as appropriate.
- h. Assume, as appropriate, DoD Component responsibilities as stated in subsection B.4., below.

i. Take action, as appropriate, on all Chapter 4 actions where identified as "PR".

## 4. The <u>Heads of the DoD Components</u> shall:

- a. Assist, as appropriate and able within their resource constraints, in the development, revision, and budget execution of the DoD MSMP and MSIP.
- b. In coordination with the other DoD Components, develop M&S functional area appendices to the DoD MSMP as required. Plan, program, and provide resources to carry out their M&S responsibilities in support of the DoD MSMP and MSIP.
- c. Review, coordinate, and approve DoD M&S plans, programs, policies, procedures, and DoD Publications that support the DoD MSMP and MSIP.
- d. Publish a MSMP and MSIP that supports objectives in the DoD MSMP and MSIP.
- e. Establish elements of the DoD MSRR system, as appropriate.
- f. Foster joint and/or cooperative M&S development with the other DoD Components in support of the DoD MSMP and MSIP objectives.
- g. Ensure that their M&S master and investment plans support this plan.
- h. Annually, or upon change or revision, provide the USD(A&T) copies of their current M&S Master Plan and Investment Plan.
- i. Identify their M&S requirements, projected over the next 6 years, to USD(A&T) within 6 months of the publication of this document, and provide updates as changes occur.
- j. Take action(s), as appropriate, for all Chapter 4 actions where the DoD Components and/or any of their subordinate organizations is identified as the agent for PR.

# 5. The <u>Chairman of the Joint Chiefs of Staff</u> shall:

a. Coordinate and manage the execution of this plan with the Unified Combatant Commands (UCCs).

- b. In coordination with the UCCs, develop a consolidated and prioritized listing of joint validated operational requirements that might be fulfilled through M&S and provide it to the USD(A&T).
- c. In coordination with the UCCs and through the EXCIMS, propose new DoD M&S objectives and investments to the USD(A&T) for incorporation in the DoD MSMP and MSIP.
- d. In coordination with the UCCs, document M&S benefits and report them to the  $\mbox{USD}(\mbox{A\&T})$
- e. Execute DoD Component responsibilities stated in subsection B.4., above.
- 6. The <u>Commanders-in-Chief of the Unified Combatant Commands</u>, shall:
- a. Coordinate and execute assigned responsibilities of this plan through the Chairman of the Joint Chiefs of Staff.
- b. Identify and validate operational requirements that might be fulfilled through M&S to the Chairman of the Joint Chiefs of Staff for consolidation and prioritization.
- c. Through the Chairman of the Joint Chiefs of Staff, propose new DoD M&S objectives and investments for forwarding to the USD(A&T) and possible incorporation into the DoD MSMP amd MSIP.
- d. Provide inputs to the Chairman of the Joint Chiefs of Staff on benefits of M&S in their commands.
- e. Prepare supporting plans to implement the DoD MSMP and MSIP within their commands.
  - 7. The Director, Operational Test and Evaluation, shall:
- a. In coordination with the USD(A&T) and other DoD Components, develop M&S policy for application to operational test and evaluation.
- b. Assume DoD Component responsibilities stated in subsection B.4., above.